THEORETICAL METHODS TO TREAT CORRELATED ELECTRON AND NUCLEAR DYNAMICS FOR CLOSED AND OPEN QUANTUM SYSTEMS



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REAL-TIME DYNAMICS: FEMTOCHEMISTRY



Zewail et al., 1990's

femtosecond chemistry: 1 fs = 10^{-15} s

nuclear (atomic) motions

REAL-TIME DYNAMICS: ATTOPHYSICS



Corkum, Krausz, ..., > 2000

attosecond physics: 1 as $= 10^{-18}$ s

electronic motions

THIS TALK IS ABOUT ...

1 Electron dynamics (mostly light-driven)

- Methods
 - -Wavefunction-based: TD-CI, TD-CASSCF (=MCTDHF)
 - Open-system density matrix based: $\rho\text{-}\mathrm{TDCI}$
- Some applications
 - $-\operatorname{Response}$ to laser pulses
 - $-\operatorname{Correlation}$ and its control

2 Nuclear dynamics (mostly for system-bath problems)

- Methods
 - $-\operatorname{Wave-function}$ based: MCTDH
 - $-\operatorname{Open-system}$ density matrix based: Lindblad approach
- Application
 - $-\operatorname{Vibrational}$ dynamics and relaxation

LASER-DRIVEN ELECTRON DYNAMICS

ELECTRON MOTION IN MOLECULES: LASERS

• Electronic wavepackets (and control)

dissociation of D_2^+

• HHG, orbital tomography

HOMO of N_2

Kling et al., Science **312**, 264 (2006)

Corkum *et al.*, Nature **432**, 867 (2004)

LASERS AND ELECTRON DYNAMICS: METHODS

• The N-electron time-dependent Schrödinger equation

$$i\hbar \frac{\partial \Psi(\underline{x}_1, \dots, \underline{x}_N, t)}{\partial t} = \left[\hat{H}_{el}(\underline{x}_1, \dots, \underline{x}_N) - \underline{\hat{\mu}}\underline{E}(t)\right] \Psi(\underline{x}_1, \dots, \underline{x}_N, t)$$

• Solution techniques

- One-electron approaches
- Single-determinant methods
 - TD-HF: $\Psi(t) = \psi_0(t)$
 - TD-DFT: $\Psi(t) = \psi_0^{KS}(t)$
- Multi-determinant methods

- TD-CI: $\Psi(t) = C_0(t)\psi_0 + \sum_{ar} C_a^r(t)\psi_a^r + \sum_{ab,rs} C_{ab}^{rs}(t)\psi_{ab}^{rs} + \cdots$
- TD-CASSCF: $\Psi(t) = C_0(t)\psi_0(t) + \sum_{ar} C_a^r(t)\psi_a^r(t) + \sum_{ab,rs} C_{ab}^{rs}(t)\psi_{ab}^{rs}(t) + \cdots$

TD-CI:TD-CIS, TD-CIS(D), TD-CISD, ... TD-CISD·· N=Full-CI (FCI)TD-CASSCF(N,M):TD-CASSCF (N,N/2) = TD-HF, ..., TD-CASSCF(N,K) =FCI

EXAMPLE: GROUND STATES FROM TD-CASSCF

- Dirac-Frenkel variational principle: C(t), $\phi_n(t)$
- Imaginary-time propagation: TD-CASSCF(6,K)

M. Nest, T. Klamroth, PS, JCP **122**, 124102 (2005)

M. Nest, JTCC 6, 653 (2007)

EXCITED STATES FROM TD-CASSCF

• Excited states by real-time propagation

via FT of autocorrelation function

via FT of dipole moment

LiH molecule, TD-CASSCF $(4,4)/6-31G^*$

M. Nest, R. Padmanaban, PS, JCP 126, 214106 (2007)

EXCITED STATES FROM TD-CASSCF

• Excited states by real-time propagation

M. Nest, R. Padmanaban, PS, JCP **126**, 214106 (2007)

• Also: Pulsed laser-driven real-time dynamics

F. Remacle, M. Nest, R.D. Levine, PRL **99**, 183902 (2007)

RESPONSE TO LASER PULSES

A SIMPLE EXAMPLE: THE H_2 MOLECULE

A SIMPLE EXAMPLE: THE H_2 MOLECULE

LINEAR RESPONSE: POLARIZABILITY OF \mathbf{H}_2

• Strategy:

• Static: $\omega = 0$

	$TD-CISD^a$	Exp.	Stat. QC^b
α_{\parallel}	6.3989	6.303	6.3970
$lpha_{\perp}$	4.5845	4.913	4.5749

 a aug-cc-pVQZ; b FCI/aug-cc-pVQZ

Apply
$$E_q = E_{0q} \sin^2(\pi t/2\sigma) \cos(\omega t)$$

 $\implies \mu_q^{ind} = \alpha_{qq'} E_{q'}$

• **Dynamic:** $\omega \neq 0$

NONLINEAR RESPONSE: HIGHER HARMONICS

$$E(t), \mu^{ind}(t) \longrightarrow \mathrm{FT} \longrightarrow \mu^{ind}(\omega), E(\omega)$$

• H₂: Higher harmonics

1HG: polarizability $\alpha_{zz}(-\omega, \omega)$ 3HG: 2nd hyperpolariz. $\gamma_{zzzz}(-3\omega, \omega, \omega, \omega)$ 5HG: 4th hyperpolarizability ...

crossed fields: elements, e.g. β_{xyz}

P. Krause, T. Klamroth, PS, JCP **127**, 034107 (2007)

NONLINEAR RESPONSE: HIGHER HARMONICS

E. Luppi, M. Head-Gordon, Mol. Phys. **110**, 909 (2012)

INCLUSION OF IONIZATION

• Ionization in TD-CI

$$E_n \to E_n - \frac{i}{2}\Gamma_n$$

• Polarizability H_2 , bound \rightarrow bound/unbound transitions

S. Klinkusch, PS, T. Klamroth, JCP 131, 114304 (2009)

INCLUSION OF DISSIPATION: ρ -TDCI

• Liouville-von Neumann equation for laser-driven electrons

• Lindblad dissipation, CI eigenstate basis: " ρ -TDCI"

Populations: Diagonal elements of system density operator $\hat{\rho}$

$$\frac{d\rho_{nn}}{dt} = \sum_{p}^{N} \left[-\frac{i}{\hbar} \left[V_{np}(t)\rho_{pn} - \rho_{np}V_{pn}(t)\right] + \left(\Gamma_{p \to n}\rho_{pp} - \Gamma_{n \to p}\rho_{nn}\right)\right]$$

dipole coupling $V_{mn}(t) = -\underline{\mu}_{mn}\underline{E}(t)$ energy relaxation rates $\Gamma_{n \to m}$

dephasing enters $\dot{\rho}_{mn}$ via dephasing rates γ_{mn}

INCLUSION OF IONIZATION AND DISSIPATION

• The ρ -TD-CI method, and inclusion of ionization

LvN equation

$$\frac{\partial \hat{\rho}}{\partial t} = -\frac{i}{\hbar} \left[\left(\hat{H}_{el} - i\hat{W} \right) - \underline{\hat{\mu}}\underline{E}(t), \hat{\rho} \right] + \mathcal{L}_{\mathcal{D}}\hat{\rho}$$

• Excitation of H_2 , bound \rightarrow bound transition

J.C. Tremblay, S. Klinkusch, T. Klamroth, PS, JCP **134**, 044311 (2011)

TIME-DEPENDENT ELECTRON CORRELATION

• Time-dependent correlation energy

LiH, TD-CASSCF(4,n)/6-311++G(2df,2p)

 \sin^2 pulse, 3fs, $E_0 = 0.01, \, \omega = 0.15$

$$E_{\rm corr}(t) = E(t) - E_{\rm HF}(t)$$

M. Nest, PS, unpublished

TIME-DEPENDENT CORRELATION

• Time-dependent correlation energy

LiH, TD-CASSCF(4,n)/6-311++G(2df,2p)

 \sin^2 pulse, 3fs, $E_0 = 0.025, \, \omega = 0.15$

Nest, PS, unpublished

ELECTRON CORRELATION: OTHER MEASURES

• One-electron entropy S and "quantum impurity" C

$$S = -k_B \operatorname{Tr}\left(\underline{\gamma} \ln \underline{\gamma}\right)$$

$$C = 1 - \frac{1}{N} \operatorname{Tr}\left(\frac{\gamma^2}{\underline{=}}\right)$$

$$\gamma_{ij} = \int d1 \ d1' \ \chi_i^*(1) \ \gamma(1, 1') \ \chi_j(1')$$

\bullet \mathbf{H}_2 minimal basis, dynamics of a Hartree-Fock state

• Full-CI
$${}^{1}\Sigma_{g}^{+}$$
 states $|0\rangle$, $|1\rangle$ from determinants $\psi_{HF} = |1\bar{1}\rangle$, $|\psi_{1\bar{1}}^{2\bar{2}}\rangle = |2\bar{2}\rangle$

$$|0\rangle = \cos(\beta/2) |1\overline{1}\rangle + \sin(\beta/2) |2\overline{2}\rangle \text{ energy } E_0$$

$$|1\rangle = -\sin(\beta/2) |1\overline{1}\rangle + \cos(\beta/2) |2\overline{2}\rangle \text{ energy } E_1$$

• Dynamics of an initial Hartree-Fock state

$$\psi(0) = \psi_{HF} = \cos(\beta/2)|0\rangle - \sin(\beta/2)|1\rangle$$
$$\psi(t) = e^{-iE_1t/\hbar} \left(\cos(\beta/2)e^{i\omega_{10}t}|0\rangle - \sin(\beta/2)|1\rangle\right)$$
$$\omega_{10} = (E_1 - E_0)/\hbar$$

• H_2 , minimal basis: Dynamics of a HF state

$$S/k_B = -2\left[(k_1 - b(t))\ln(k_1 - b(t)) + (k_2 + b(t))\ln(k_2 + b(t))\right]$$
$$C(t) = 1 - \left((k_1 - b(t))^2 + (k_2 + b(t))^2\right)$$
$$k_1 = \cos^4(\beta/2) + \sin^4(\beta/2) \qquad k_2 = 2\sin^2(\beta/2)\cos^2(\beta/2)$$

 $\mathbf{b}(\mathbf{t}) = k_2 \cos(2\pi \mathbf{t}/T)$

• Example: TD-CID/STO-3G, R=1.4 a_0

oscillation with period

$$T = \frac{2\pi\hbar}{E_1 - E_2}$$

ultrafast buildup of electron correlation

• H_2 molecule: More than two states

TD-CISD/6-31G*: no field, $\psi(0) =$ Hartree-Fock ground state

Klinkusch, Klamroth, PS, unpublished

ultrafast buildup of electron correlation

• Correlation-driven electron dynamics: Other molecules small molecules, TD-CIS/6-31G*: no field, $\psi(0) =$ Hartree-Fock ground state

Beyvers, Nest, Klamroth, Klinkusch, PS, unpublished

attosecond dynamics

creation of HF state?

• Application of Optimal Control Theory

H₂, TD-CISD/cc-pVQZ with field, $\psi(0) = \text{CISD}$ ground state (P_{HF} = 0.982, S=0.23 k_B)

Klamroth, Klinkusch, PS, unpublished

partial success

how to stabilize the low-entropy state?

OPTIMAL CONTROL THEORY

• Time-dependent Schrödinger equation:

 $i\hbar \frac{\partial}{\partial t} |\Psi\rangle = \hat{H}_{el}(t) |\Psi\rangle$ forward from $t = 0, |\Psi(0)\rangle = |\Psi_0\rangle$

 $\hat{H}_{el}(t) = \hat{H}_{el} - \hat{\mu}E(t)$

• Maximize constrained target functional:

$$J = \langle \Psi(t_f) | \hat{O} | \Psi(t_f) \rangle - \alpha \int_0^{t_f} |E(t)|^2 \mathrm{d}t - \int_0^{t_f} \mathrm{d}t \langle \Phi(t) | \frac{\partial}{\partial t} + \frac{i}{\hbar} \hat{H}_{el}(t) \Psi(t) \rangle + c.c.$$

 \hat{O} = target operator; α = penalty

• Lagrange function $\Phi(t)$: Backward propagation

 $i\hbar\frac{\partial}{\partial t}|\Phi(t)\rangle = \left[\hat{H}_{el} - \hat{\mu}E(t)\right]|\Phi(t)\rangle$ backward from $t = t_f$, $|\Phi(t_f)\rangle = \hat{O}|\Psi(t_f)\rangle$

• Calculate field to self-consistency

 $E(t) = -\frac{1}{\hbar \alpha} \operatorname{Im} \langle \Phi(t) | \hat{\mu} | \Psi(t) \rangle$

• He: HF state dynamics

• Control Strategy

make approximate HF state

 $\psi_{HF} \sim \sum_{n=0,5,\dots,25} C_n \psi_n$ from correlated ground state $\psi(0) = \psi_0$

M. Nest, M. Ludwig, I. Ulusoy, T. Klamroth, PS, JCP **138**, 164108 (2013)

M. Nest, M. Ludwig, I. Ulusoy, T. Klamroth, PS, JCP 138, 164108 (2013)

SUMMARY AND OUTLOOK: ELECTRONS

• Summary

- Electron dynamics in real time
- TD-CI, ρ -TD-CI, TD-CASSCF
- Response
- Time-dependent correlation

• Outlook

- \bullet Test of apprpximate methods, e.g. TD-DFT
- Treatment of ionization, nuclear motion
- Time-dependent Coupled Cluster

• Findings

- Ultrafast dynamics (and control)
- WF-based alternatives to TDDFT
 - systematically improvable
 - correct asymptotics
 - multi-determinant effects

NUCLEAR (ATOM) DYNAMICS

FULL SYSTEM-BATH DYNAMICS

• The time-dependent Schrödinger equation

$$\frac{\partial \Psi(s, q_1, \dots, q_M, t)}{\partial t} = -\frac{i}{\hbar} \hat{H} \Psi(s, q_1, \dots, q_M, t)$$

• Methods

standard, MCTDH ("exact"), TDSCF (approximation), ...

REDUCED DYNAMICS

• Open-system density matrix theory

• Lindblad in system eigenstate representation: $\hat{C}_{kl} = \sqrt{\Gamma_{k \to l}} |l\rangle \langle k|$ Populations:

$$\frac{d\rho_{nn}}{dt} = \sum_{p}^{N} \underbrace{-\frac{i}{\hbar} \left[V_{np}(t)\rho_{pn} - \rho_{np}V_{pn}(t) \right]}_{\text{system-field}} + \sum_{p}^{N} \underbrace{\left[\Gamma_{p \to n}\rho_{pp} - \Gamma_{n \to p}\rho_{nn} \right]}_{\text{dissipation}}$$
Coherences:
$$\frac{d\rho_{mn}}{dt} = -\frac{i}{\hbar} \left[(E_m - E_n) + \sum_{p}^{N} \left[V_{mp}(t)\rho_{pn} - \rho_{mp}V_{pn}(t) \right] \right] \underbrace{-\gamma_{mn}\rho_{mn}}_{\text{dephasing}}$$

• Rates Γ , γ : Perturbation theory, non-perturbative

H:Si(100): VIBRATIONAL RELAXATION

• A "system-bath" model for H on Si(100)

¹ force field: D. Brenner, PRB **42**, 9458 (1990); NMA: I. Andrianov, PS, JCP **124**, 034710 (2006)

H:Si(100): GOLDEN RULE AND RDM THEORY

- stretch mode: $\tau_{vib} = \Gamma_{1 \to 0}^{-1} = \mathbf{ns}$
- bending mode: ps

•
$$\Gamma_{n \to m} \approx \tau_{vib}^{-1} n \, \delta_{m,n-1}$$
: $\Delta n = -1$
ideal: HO, bilinear coupling

Lindblad density matrix theory

H:Si(100): NON-PERTURBATIVE, FULL DYNAMICS

• Relaxation of the bending mode: MCTDH and TDSCF

• Half-life times T_{1/2} of (0,1): Golden Rule: 0.94 ps, TDSCF: 0.92 ps CPL 433, 91 (2006), JPC C 111, 5432 (2007)

G.K. Paramonov, PS et al., PRB **75**, 045405 (2007)

F. Lüder, M. Nest, PS, TCA **127**, 183 (2010)

THERMAL WAVEFUNCTIONS AND MCTDH

• "rAvec" method¹: $\Psi(x_1, \dots, x_F) = \sum_{j_1=1}^{n_1} \cdots \sum_{j_F=1}^{n_F} A_{j_1 \cdots j_F} \prod_{k=1}^F \phi_{j_k}^{(k)}(x_k)$

randomize coefficients $A_{j_1\cdots j_F}$ (replace by random phases $e^{i\theta}$ ($\theta \in [0, 2\pi]$)

• "rSPF" method²:

$$\left|\Psi(x_1,\ldots,x_F)=\psi^{(1)}(x_1)\cdots\psi^{(F)}(x_F)\right|$$

randomize single-particle functions $\psi^{(i)}(x_i) = \sum_{n_i} (-1)^{\alpha_{n_i}} \varphi_{n_i}^{(i)}$ (α = random integer)

• Example: Atom sticking at surface³

A SIMPLE 1D SYSTEM-BATH MODEL

• A 1D "system-bath" model vibrational relaxation

- Ohmic bath $\omega_i = i \Delta \omega = i \omega_f / M$
- coupling constant $c_i = i \left(2m_i m_s \Gamma \Delta \omega^3 / \pi \right)^{1/2}$, damping parameter Γ
- non-linear coupling function $f(s) = (1 e^{-\alpha s})/\alpha \longrightarrow s$ for $s \to 0$

• Questions

- resonant vs. non-resonant baths: $\omega_b, \, \omega_s$
- \bullet reduced vs. full dynamics
- \bullet scaling of "vibrational lifetimes" with v

Nest, Meyer, JCP 119, 24 (2003); Bouakline et al., JPC A 116, 11118 (2012)

RESULTS

• Resonant vs. non-resonant bath

MCTDH (full) calculation, M=40, $\Gamma = (500 \text{ fs})^{-1}$

Bouakline, Lüder, Martinazzo, PS, J. Phys. Chem. A 116, 11118 (2012)

• "Half-lifetime" scaling, "full" vs. "reduced" dynamics

MCTDH vs. Golden Rule; M=40, $\Gamma = (500 \text{ fs})^{-1}$; HO system vs. Morse oscillator

Bouakline, Lüder, Martinazzo, PS, J. Phys. Chem. A 116, 11118 (2012)

LARGE BATHS WITH WAVEFUNCTIONS

• MCTDH¹ and variants thereof

$$\Psi(x_1, \dots, x_F) = \sum_{j_1=1}^{n_1} \dots \sum_{j_F=1}^{n_F} A_{j_1 \dots j_F} \prod_{k=1}^F \phi_{j_k}^{(k)}(x_k)$$

Variants: Mode combination, ML-MCTDH (Thoss, Wang), ...

• TDSCF

Ψ

$$(x_1, \dots, x_F, t) = \prod_{k=1}^F \varphi_{\kappa}(x_k, t)$$

single-configuration approximation

• \mathbf{LCSA}^2

Local Coherent State Approximation "diagonal approximation to MCTDH"

• G-MCTDH³, CC-TDSCF⁴, ...

 1 Meyer, Manthe, Cederbaum: CPL **165**, 73 (1990)

² Martinazzo *et al.*, JCP **125**, 194102 (2006)

³ Burghardt *et al.*, JCP **111**, 1927 (1999)

⁴ Zhang *et al.*, JCP **122**, 091101 (2005)

LARGE BATHS, LONG-TIME DYNAMICS

SUMMARY AND OUTLOOK: NUCLEI

• Summary

- \bullet System-bath models
- MCTDH and variants
- Lindblad open-system density matrix
- Vibrational relaxation

• Findings

- "Easy" and "real" Hamiltonians
- Anharmonicity matters

• Outlook

- \bullet Redfield and non-Markovian theories
- Non-Markovian measures
- Light-induced processes

CORRELATION MATTERS

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