

Time-dependent generalizedactive-space approaches to the many-electron problem

Lars Bojer Madsen Department of Physics and Astronomy Aarhus University, Denmark

Motivation

- New light sources put focus on time-dependent, nonperturbative dynamics - a fundamental problem
- Valence shell dynamics induced by intense femtosecond infrared pulses
- 'Inner' shell dynamics induced by XUV attosecond pulses
- Observables are often associated with processes like ionization, break-up, high-order harmonics generation, transient absorption spectroscopy. *Continua*
- Much insight has been obtained by the single-active electron approximation, but a range of processes involve correlation

Motivation

- Direct solution of TDSE limited to very few particles
- Approximate solutions necessary for systems beyond H, He, and H₂
- Numerous approaches in the literature (TDHF, TDCIS, MCTDHF, TDCC, OATDCC, TD-*R* matrix,...TD-CASSCF)
- TD-R matrix and TDCIS have found most applications

Approach in Aarhus

- Study quantum chemistry methods
- Extend appropriate quantum chemistry methods to the time-domain
- We have so far identified two avenues which appear promising for our purposes

Approach in Aarhus

 Time-dependent restricted-active-space self-consistentfield (TD-RASSCF) method (*Haruhide Miyagi*, Wenliang Li)

$$\Psi(t)\rangle = \sum_{\mathcal{I}\in\mathcal{V}_{RAS}} C_{\mathcal{I}}(t) |\Phi_{\mathcal{I}}(t)\rangle$$

Time-dependent generalized-active-space configurationinteraction (TD-GASCI) method (Sebastian Bauch, Lasse Kragh Sørensen, Lun Yue)

$$|\Psi(t)\rangle = \sum_{\mathcal{I}\in\mathcal{V}_{GAS}} C_{\mathcal{I}}(t) |\Phi_{\mathcal{I}}\rangle$$

D. Hochstuhl and M. Bonitz, Phys. Rev. A 86, 053424 (2012)

I. TD-GASCI

- Theory part
 - Idea of GAS/RAS
 - □ Basis set for photoionization (continuum involved)
- Numerical examples
 - □ 1D model system: "He" and "Be"
 - □ 3D systems
- Conclusions

Configuration Interaction

Expand the wave function

$$\Psi(t)\rangle = \sum_{\mathcal{I}\in\mathcal{V}_{\text{GAS}}} C_{\mathcal{I}}(t) |\Phi_{\mathcal{I}}\rangle$$

with Slater determinants constructed from single-particle spin-orbitals

$$i\partial_t C_{\mathcal{I}} = \sum_{\mathcal{J}} H_{\mathcal{I}\mathcal{J}}(t) C_{\mathcal{J}}(t)$$

with matrix elements

$$H_{\mathcal{I}\mathcal{J}}(t) = \sum_{ij} h_{ij}(t) \langle \Phi_{\mathcal{I}} | \hat{a}_i^{\dagger} \hat{a}_j | \Phi_{\mathcal{J}} \rangle + \frac{1}{2} \sum_{ijkl} w_{ijkl} \langle \Phi_{\mathcal{I}} | \hat{a}_i^{\dagger} \hat{a}_k^{\dagger} \hat{a}_j \hat{a}_l | \Phi_{\mathcal{J}} \rangle$$

Full-CI basis size:

$$\left(\begin{array}{c} N_b \\ N_{\rm e}/2 \end{array}\right)^2$$

GASCI

- The increase in basis with N_e and N_b is the "curse of dimensionality"
- To decrease the basis size we invoke the GAS/RAS scheme from quantum chemistry

GASCI



Construction of GAS wave function \Box Partition single-particle basis into n_r parts

Impose restrictions on the particle numbers in the different parts (if, e.g., the core P₁ is frozen N₁ = 2, if single excitation out of P₁ is allowed N₁=2,1)
 Construct configurations in subspace P_i

GAS: **Example**: N₁=2, N₂=4, N₃=(2,1), N₄=0,1:



 $|11|111|100|00\rangle |11|1111|1001|00\rangle \\|11|1111|0010|00\rangle |11|1111|0011|00\rangle \\|11|1111|0100|10\rangle |11|1111|0001|10\rangle \\|11|1111|000|01\rangle |11|1111|0010|01\rangle$



Basic adequate for continuum description: Finite-element DVR basis



Gauss-Lobatto DVR with quadrature points x_i and weights w_i

$$\begin{aligned} x_i^e &= \frac{1}{2} \left[\left(x^{e+1} - x^e \right) x_i + \left(x^{e+1} + x^e \right) \right] \\ w_i^e &= \frac{w_i}{2} \left[x^{e+1} - x^e \right] . \end{aligned}$$

FE-DVR

Lobatto-shape functions:

$$f_i^e(x) = \prod_{q \neq i} \frac{x - x_q^e}{x_i^e - x_q^e}$$

Elements:

$$\mathcal{M}_{i}^{e}(x) \equiv \frac{f_{i}^{e}(x)}{\sqrt{w_{i}^{e}}}, \quad i = 2, \dots, n_{e} - 1$$

Brigdes:
$$\chi_{n_e}^e(x) \equiv \frac{f_{n_e}^e(x) + f_1^{e+1}(x)}{\sqrt{w_{n_e}^e + w_1^{e+1}}}$$

See, e.g., Rescigno and McCurdy, Phys. Rev. A 62 032706 (2000)

FE-DVR

Matrix elements

$$\begin{split} &\langle \chi_i | V(x_1) | \chi_j \rangle = V_{ij} \delta_{ij} \\ &\langle \chi_i | T(x_1) | \chi_j \rangle = T_{ij} \delta_{e \pm (1,0),f} \\ &\langle \chi_i \chi_k | w(x_1, x_2) | \chi_j \chi_l \rangle = w_{ij,kl} \delta_{ij} \delta_{kl} \\ &\text{nteraction energy diagonal in (ij), (kl)} \\ &\mathcal{O}(N_b^2) \end{split}$$

Single-particle basis: FE-DVR



FE-DVR basis provides efficient storage of 2-electron integrals

Single-particle basis: FE-DVR



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- **Solution**: Include configurations based on HF orbitals
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- Solution: Use pseudo orbitals for virtual states

Pseudo orbitals

Use pseudo orbitals for virtual states

$$\begin{aligned} \hat{H}_0 \varphi_n^0 &= E_n \varphi_n^0 \\ \hat{H}_0 &= \sum_i \hat{t}_i + \hat{v}(x_i) \\ \left\{ \varphi_0^{\text{hf}}, \dots, \varphi_{N/2}^{\text{hf}}, \varphi_{N/2+1}^0, \dots, \varphi_{Nb}^0 \right\} \\ \varphi_i^{\text{hf}} \bot \varphi_i^0 \end{aligned}$$

Note: we also can use 'natural orbital'..long discussion

In the HF basis, the CI expansion converges, but there is a serious drawback:

 $w_{ij,kl}^{\text{HF}} = w_{ij,kl}$ scales as $\mathcal{O}(N_b^4)$

Such an approach would limit the number of basis functions to about 100. Hence, processes involving a continuum would be difficult to address

Solution: Mixed single-particle basis HF to describe bound-state excitation FE-DVR to describe continuum

Single-particle basis: mixed basis set approach



Single-particle basis: mixed basis set approach



Single-particle basis: mixed basis set approach



Efficient storage scheme: approximately $O(N_b^2)$ Allows for the calculation of ionization without approximating the electron-electron interaction (in addition to the GAS scheme)

TD-GASCI overview

- 1. Set up physical system (potentials, N, box size, ...)
- 2. Set up FE-DVR basis
- 3. Construct Hartree-Fock orbitals in vicinity of nucleus
- 4. Construct pseudo-virtuals
- 5. Transform FE-DVR matrix elements to mixed basis
- 6. Construct RAS space
- 7. Compute CI matrix elements (e.g. Slater-Condon rules)
- 8. Prepare initial state (e.g. diagonalization of RAS-CI matrix, imaginary time prop,...)
- 9. Perform time propagation (e.g. Arnoldi/Lanczos propagation [1])

[1] M. H. Beck et al., Phys. Rep. **324** (2000).

Example: 1D Helium



1D Helium model (N=2)

$$V(x) = -\frac{2}{\sqrt{x^2 + 1}}$$
$$w(x_1, x_2) = \frac{1}{\sqrt{(x_1 - x_2)^2 + 1}}$$

Method	E_0	configurations		
TDSE	-2.238259	2		
HF (full box)	-2.224212	1		
HF (small box)	-2.224162	1		
SAE (\uparrow)	-2.224187	69		
SAE (\downarrow)	-2.224187	69		
CI-S	-2.224212	137		
CI-RAS1	-2.235258	205		
CI-RAS2	-2.236189	272		
CI-RAS3	-2.237293	339		
CI-RAS4	-2.237566	405		
CI-RAS5	-2.237785	471		
CI-RAS10	-2.238157	792		
CI-SD-HF	-2.238260	855		
CI-SD	-2.238260	4761		

Convergence of ground state energy with RAS-CI for #Nb=69, #HF=41













Numerical example: 1D Beryllium

















Spectral Intensity [arb.u.]

Essentials of TD-RAS-CI approach to photoionization

- Expand wave function in basis of Slater determinants
- → Determinants are time-independent
- →Truncate full-CI expansion in different subspaces
 - → Crucial for photoionization: mixed basis set
- →Numerical tests on exactly solvable model systems
- →Ongoing research
 - Tests and benchmarks on 1D systems
 - Extension to real atoms and small diatomic molecules

3D Helium



3D Be



HHG in H₂

In the figure, the thin grey lines for a given I_{max} is the previous line repeated



HHG H₂ $E_0 = 0.119$ a.u., $\omega = 0.0569$ a.u.

Concept of a time-varying basis



Illustration of fixed orbitals together with true development of the system

$$|\Psi(t)\rangle = \sum_{\mathcal{I}\in\mathcal{V}_{RAS}} C_{\mathcal{I}}(t) |\Phi_{\mathcal{I}}(t)\rangle$$

PHYSICAL REVIEW A 87, 062511 (2013)

Time-dependent restricted-active-space self-consistent-field theory for laser-driven many-electron dynamics

Haruhide Miyagi and Lars Bojer Madsen Department of Physics and Astronomy, Aarhus University, 8000 Århus C, Denmark (Received 22 April 2013; published 21 June 2013)

We present the time-dependent restricted-active-space self-consistent-field (TD-RASSCF) theory as a framework for the time-dependent many-electron problem. The theory generalizes the multiconfigurational time-dependent Hartree-Fock (MCTDHF) theory by incorporating the restricted-active-space scheme well known in time-independent quantum chemistry. Optimization of the orbitals as well as the expansion coefficients at each time step makes it possible to construct the wave function accurately while using only a relatively small number of electronic configurations. In numerical calculations of high-order harmonic generation spectra of a one-dimensional model of atomic beryllium interacting with a strong laser pulse, the TD-RASSCF method is reasonably accurate while largely reducing the computational complexity. The TD-RASSCF method has the potential to treat large atoms and molecules beyond the capability of the MCTDHF method.

Special cases: M₂=0: TD-CASSCF. M₀=M₂=0 : MCTDHF

For a wave function written as

$$|\Psi(t)\rangle = \sum_{\mathcal{I}\in\mathcal{V}_{RAS}} C_{\mathcal{I}}(t) |\Phi_{\mathcal{I}}(t)\rangle,$$

we derive the EOM obeyed by the CI coefficients and orbitals.

Dirac-Frenkel-McLachlan TD variational principle:^[9-11] Define an action functional

$$\mathcal{S}\big[\{C_{\mathcal{I}}\},\{\phi_i\},\{\varepsilon_j^i\}\big] = \int_0^T \left[\langle\Psi|\left(i\frac{\partial}{\partial t} - H\right)|\Psi\rangle + \sum_{ij}\varepsilon_j^i(t)\left(\langle\phi_i|\phi_j\rangle - \delta_j^i\right)\right]dt,$$

and seek a stationary point, $\delta S = 0$.

[9] P. A. M. Dirac, P. Camb. Philos. Soc. 26, 376 (1930).
[10] J. Frenkel, *Wave Mechanics, Advanced General Theory* (Oxford, 1934).
[11] A. D. McLachlan, Mol. Phys. 8, 39 (1964).

$$H(t) = \sum_{pq} h_q^p(t) c_p^{\dagger} c_q + \frac{1}{2} \sum_{pqrs} v_{qs}^{pr}(t) c_p^{\dagger} c_r^{\dagger} c_s c_q,$$

Explicit form of the EOM:

$$\begin{cases} i\dot{C}_{\mathcal{I}} = \sum_{ij} \operatorname{sgn}(\tau) C_{\tau(\mathcal{I}_{i}^{j})}(h_{j}^{i} - i\eta_{j}^{i}) + \frac{1}{2} \sum_{ijkl} \operatorname{sgn}(\tau) C_{\tau(\mathcal{I}_{ik}^{jl})} v_{jl}^{ik}, & \leftarrow C_{\mathcal{I}} \\ i \sum_{j} Q |\dot{\phi}_{j}\rangle \rho_{i}^{j} = \sum_{j} Q h(t) |\phi_{j}\rangle \rho_{i}^{j} + \sum_{jkl} Q W_{l}^{k} |\phi_{j}\rangle \rho_{ik}^{jl}, & \leftarrow Q \\ \sum_{k''l'} (h_{l'}^{k''} - i\eta_{l'}^{k''}) A_{k''i'}^{l'j''} + \sum_{klm} (v_{kl}^{j''m} \rho_{i'm}^{kl} - v_{i'm}^{kl} \rho_{kl}^{j''m}) = i\dot{\rho}_{i'}^{j''}. & \leftarrow P \end{cases}$$

where

$$C_{\mathcal{I}_{i}^{j}} = \langle \Phi_{\mathcal{I}} | c_{i}^{\dagger} c_{j} | \Psi \rangle, \ C_{\mathcal{I}_{ik}^{jl}} = \langle \Phi_{\mathcal{I}} | c_{i}^{\dagger} c_{k}^{\dagger} c_{l} c_{j} | \Psi \rangle,$$

$$h_{q}^{p}(t) = \int \phi_{p}^{\dagger}(z,t)h(x,t)\phi_{q}(z,t)dz,$$

$$v_{qs}^{pr}(t) = \iint \phi_{p}^{\dagger}(z_{1},t)\phi_{r}^{\dagger}(z_{2},t)v(x_{1},x_{2})\phi_{q}(z_{1},t)\phi_{s}(z_{2},t)dz_{1}dz_{2},$$

$$Q = 1 - \sum_{i} |\phi_{i}\rangle\langle\phi_{i}|, \ \rho_{i}^{j} \equiv \langle \Psi | c_{i}^{\dagger} c_{j} | \Psi \rangle, \ \rho_{ik}^{jl} \equiv \langle \Psi | c_{i}^{\dagger} c_{k}^{\dagger} c_{l} c_{j} | \Psi \rangle,$$

$$W_{l}^{k}(\mathbf{r}) = \int \phi_{k}^{\dagger}(z')v(\mathbf{r},\mathbf{r}')\phi_{l}(z')dz', \ A_{k''i'}^{l'j''} = \langle \Psi | [c_{i'}^{\dagger} c_{j''}, c_{k''}^{\dagger} c_{l'}] | \Psi \rangle = \delta_{k''}^{j''}\rho_{i'}^{l'} - \delta_{i'}^{l'}\rho_{k''}^{j''},$$
and
$$\dot{\rho}_{i'}^{j''} = \sum_{\mathcal{I}\in\mathcal{V}_{RAS}} \left(\dot{C}_{\mathcal{I}}^{*}\langle \Phi_{\mathcal{I}} | \Psi_{j''}^{i'} \rangle + \langle \Psi_{i'}^{j''} | \Phi_{\mathcal{I}}\rangle\dot{C}_{\mathcal{I}}\right).$$

$$|\dot{\phi}_{i}\rangle = (P+Q)|\dot{\phi}_{i}\rangle = \sum_{j} |\phi_{j}\rangle\eta_{i}^{j} + Q|\dot{\phi}_{i}\rangle.$$

$$26$$

MCTDHF method

$$\begin{cases} i\dot{C}_{\mathcal{I}} = \sum_{ij} \operatorname{sgn}(\tau) C_{\tau(\mathcal{I}_{i}^{j})} h_{j}^{i} + \frac{1}{2} \sum_{ijkl} \operatorname{sgn}(\tau) C_{\tau(\mathcal{I}_{ik}^{jl})} v_{jl}^{ik}, & \leftarrow C_{\mathcal{I}} \\ i \sum_{j} Q |\dot{\phi}_{j}\rangle \rho_{i}^{j} = \sum_{j} Q h(t) |\phi_{j}\rangle \rho_{i}^{j} + \sum_{jkl} Q W_{l}^{k} |\phi_{j}\rangle \rho_{ik}^{jl}, & \leftarrow Q \end{cases}$$

TD-RASSCF-S

TD-RASSCF-SD

TD-RASSCF-<mark>SDT</mark>

Properties of the TD-RASSCF theory

- Gauge-invariance
 - □ A property for SCF methods (TDHF, MCTDHF,...)
- Special convergence property for TD-RASSCF-S
- The TD-RASSCF scheme reduces the number of configurations a lot while being still accurate

	(M_0, M_1, M_2)	M					
Method		3	4	8	12	16	20
TD-RASSCF-S	(0, 2, M - 2)	-6.771254 (5)	$\frac{-6.773288}{(9)}$	$\frac{-6.773288}{(25)}$	$\frac{-6.773288}{(41)}$	$\frac{-6.773288}{(57)}$	$\frac{-6.773288}{(73)}$
-D	(0, 2, M - 2)	-6.771296^{\flat} (5)	-6.779805(19)	-6.784501 (175)	-6.784533 (491)	-6.784534 (967)	-6.784534 (1603)
-SD	(0, 2, M - 2)	-6.771296^{\flat} (9)	-6.780026 (27)	-6.784667 (199)	-6.784697 (531)	-6.784698 (1023)	-6.784698 (1675)
-SDT	(0, 2, M - 2)		-6.780026 (35)	-6.785038 (559)	-6.785074 (2331)	$-\hat{6.785074}$ (6119)	$-\hat{6}.785075$ (12691)
MCTDHF	(0, M, 0)	-6.771296^{\flat} (9)	-6.780026 (36)	-6.785041 (784)	-6.785077 (4356)	-6.785078 (14400)	-6.785078 (36100)

HF:-6.739450.

In the SCF based methods (MCTDHF and TD-RASSCF-S): More orbitals \Rightarrow More accurate but more expensive

Question:

How many orbitals are needed to make the TD-RASSCF-S wave function sufficiently converged and more accurate than the TDCIS wave function?

Answer:

We need only $M = N_e$ orbitals, by which the TD-RASSCF-S wave function is fully converged and more accurate than the TDCIS wave function!

The TDCIS wave function reads

$$|\Psi_{\rm CIS}(t)\rangle = \alpha_0(t)|{\rm HF}\rangle + \sum_{ia} \alpha_i^a(t)|{\rm HF}_i^a\rangle.$$

The TD-RASSCF-S wave function reads

$$|\Psi(t)\rangle = C_0(t)|\Phi(t)\rangle + \sum_{i'j''} C_{i'}^{j''}(t)|\Phi_{i'}^{j''}(t)\rangle.$$

When $\mathcal{P}_1 \oplus \mathcal{P}_2$ covers the single-particle Hilbert space, $|\Psi(t)\rangle$ is more accurate than $|\Psi_{\text{CIS}}(t)\rangle$.

The theorem allows us to use the most economical condition $(M = N_e)$, leaving the wave function invariant.

Method	(M_0, M_1, M_2)	14
w/o core (
TD-RASSCF-S	(0, 3, M - 3)	$\frac{-13.30039}{(64)}$
-D	(0, 3, M - 3)	-13.32732 (1420)
-SD	(0, 3, M - 3)	-13.32753 (1486)
-SDT	(0, 3, M - 3)	$-13.33136 \\ (12706)$
MCTDHF	(0,M,0)	$-13.33154 \\ (132496)$
w/ core (.		
TD-RASSCF-S	(1, 2, M - 3)	$\frac{-13.30037}{(45)}$
-D	(1, 2, M - 3)	-13.32440 (595)
-SD	(1, 2, M - 3)	-13.32551 (639)
-SDT	(1, 2, M - 3)	$-13.32791 \\ (3059)$
TD-CASSCF	(1, M - 1, 0)	-13.32796 (6084)

HF energy -13.23117.

TDSE (infeasible)

MCTDHF TD-RASSCF-SDT

TD-RASSCF-SD

TD-RASSCF-D (feasible&very accurate)

TD-RASSCF-S (feasible&accurate)

TDCI<mark>S</mark> TDHF

log (Numerical cost)

Conclusions

- TD-RASSCF offers a reduction in the number of configurations
- TD-RASSCF is gauge invariant
- TD-RASSCF-S has a special convergence property
- TD-RASSCF-S, (-D), -SD, -SDT become increasingly accurate
- TD-RASSCF-S and TD-RASSCF-D are computationally feasible and promising tools for TD dynamics

Outlook

- Efficient 3D implementations of TD-GAS schemes
- Extraction of observables. Applications
- TD-GAS-CC

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- TD-GAS schemes for Bosons and distinguishable particles
- TD-GAS schemes for electronic and nuclear degrees of freedom

Acknowledgments

- Haru, Sebastian, Lasse, Lun, Wenliang
- Danish Research Foundation
- ERC grant (2011-2016)
- Villum Kann Rasmussen CoE (2014-2019)

Thank you for your attention!